



Ser. No. 09/970,768

Attorney Docket: 19441.0010

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Alfred Keng Tiong Tan  
Application No. 09/970,768  
Confirmation No. 3224  
Filed: October 5, 2001  
For: METHOD AND APPARATUS FOR  
NON-LINEAR CODE-DIVISION  
MULTIPLE ACCESS TECHNOLOGY

**RESPONSE TO "NOTICE TO FILE MISSING PARTS"**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the **NOTICE TO FILE MISSING PARTS OF PROVISIONAL APPLICATION** dated October 29, 2001, Applicant submits herewith the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Copy of the Notice to File Missing Parts;
2. Combined Declaration and Power of Attorney;
3. Substitute Drawings in Compliance with 37 CFR 1.84;
4. Transmittal Form;
5. Fee Transmittal.

The Commissioner is hereby authorized to charge \$65 (declaration surcharge), and charge

any additional fees due or credit any overpayment to Deposit Account No. 19-5127  
referencing 19441.0010.

Respectfully submitted,  
Swidler Berlin Shereff Friedman, LLP

  
By: Robert C. Bertin  
Registration No. 41,488

Dated: December 28, 2001

SWIDLER BERLIN SHEREFF FRIEDMAN, LLP  
3000 K Street, N.W., Suite 300  
Washington, D.C. 20007  
(202) 424-7500



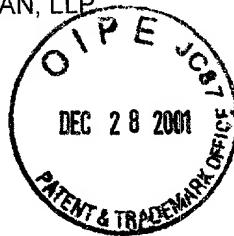
# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/970,768         | 10/05/2001          | Alfred Keng Tiong Tan | 19441.0010             |

## CONFIRMATION NO. 3224

23517  
 SWIDLER BERLIN SHEREFF FRIEDMAN, LLP  
 3000 K STREET, NW  
 BOX IP  
 WASHINGTON, DC 20007



## FORMALITIES LETTER



\*OC00000006989353\*

Date Mailed: 10/29/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

01/02/2002 NROCHA1 00000013 195127 09970768

01 FC:205

65.00 CH

*A copy of this notice **MUST** be returned with the reply.*

*Janet*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE